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1752/84

DOCKET NO. 988-038-27

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Tomoki NAGAI, et al.

ART UNIT: 1752

SERIAL NO.: 10/087,735

EXAMINER: John S.Y. Chu

FILING DATE: March 5, 2002

FOR: NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY AMPLIFIED  
RADIATION-SENSITIVE RESIN COMPOSITION

**AMENDMENT**

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

SIR:

Responsive to the outstanding Office Action dated April 21, 2004, entry of the following  
amendments is respectfully requested.

07/22/2004 EABUBAK1 00000019 10087735

01 FC:1201	86.00 OP
02 FC:1202	36.00 OP



**PIPER RUDNICK<sup>LLP</sup>**  
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DOCKET NO.: 5988-038-27

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/087,735  
Applicant(s): Tomoki NAGAI, et al.  
Filing Date: March 5, 2002  
For: NOVEL CARBAZOLE DERIVATIVE AND CHEMICALLY  
AMPLIFIED RADIATION-SENSITIVE RESIN COMPOSITION  
Group Art Unit: 1752  
Examiner: John S. Y. Chu

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal  
Amendment

Our check in the amount of \$ 122.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Christopher W. Raimund  
Registration No. 47,258



# FEE TRANSMITTAL

Docket No.	5988-038-27
Serial No.	10/087,735
Filing Date	March 5, 2002
Inventor(s)	Tomoki NAGAI, et al.
Group Art Unit	1752
Examiner	John S.Y. Chu

**TOTAL AMOUNT OF PAYMENT** \$122.00

1. ☐ Applicant claims small entity status.
- ☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)			

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description							
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid						
1001	770	2001	385	Utility filing fee		1251	110	2251	55	1-mo. ext. of time	
1002	340	2002	170	Design filing fee		1252	420	2252	210	2-mo. ext. of time	
1003	520	2003	260	Plant filing fee		1253	950	2253	475	3-mo. ext. of time	
1004	770	2004	385	Reissue filing fee		1254	1480	2254	740	4-mo. ext. of time	
1005	160	2005	80	Provisional filing fee		1255	2010	2255	1005	5-mo. ext. of time	
<b>SUBTOTAL (1)</b>					<b>\$0.00</b>	1401	330	2401	165	Notice of Appeal	
						1402	330	2402	165	Appeal Brief	

#### 2. EXTRA CLAIM FEES

tot. claims	22	-	20*	=	2	x	\$18	=	36	1501	1330	2501	665	Utility/Reissue Issue Fee		
ind. claims	8	-	7*	=	1	x	\$86	=	86	1502	480	2502	240	Design Issue Fee		
<input type="checkbox"/>	Multiple Dependent Claims						\$290	=		1504	300	1504	300	Publication Fee		
Large Entity		Small Entity		Fee Description						8001	3	8001	3	Advance Copy of Patent		
Fee Code	Fee (\$)	Fee Code	Fee (\$)							1460	130	1460	130	Petitions to the Commissioner		
1202	18	2202	9	Claims in excess of 20						1806	180	1806	180	IDS Submission		
1201	86	2201	43	Independent claims in excess of 3						8021	40	8021	40	Assignment recordation		
1203	290	2203	145	Multiple dependent claim, if not paid						1801	770	2801	385	For Filing RCE		
1204	84	2204	43	*Reissue independent claims over original patent						1814	110	2814	55	Terminal Disclaimer		
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent						OTHER (indicate below):						

**SUBTOTAL (2)** \$122.00

\* or number previously paid, if greater; For Reissues, see above

**SUBTOTAL (3)** \$0.00

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Signature		Date	July 21, 2004
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